

# Symmetry in reciprocal space

Göttingen, 22<sup>nd</sup> October 2009

George M. Sheldrick

<http://shelx.uni-ac.gwdg.de/SHELX/>

# International Tables vol A: space group $P3_1$

$P3_1$

$C_3^2$

3

Trigonal

No. 144

$P3_1$

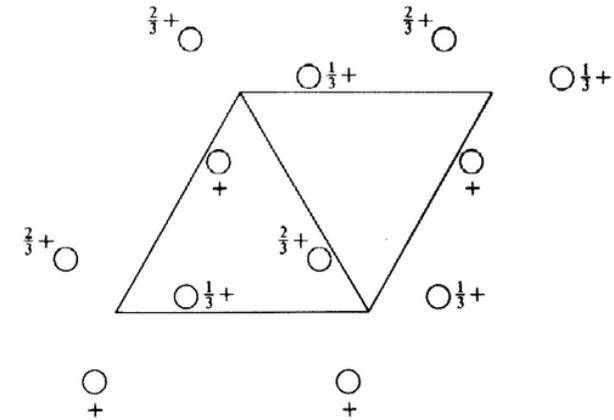
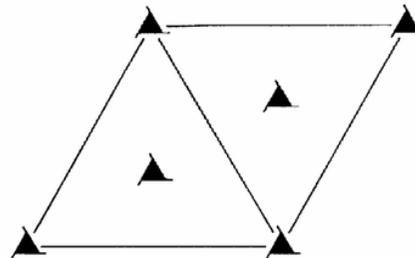
Patterson symmetry  $P\bar{3}$

Coordinates of the general position:

$m=1: x, y, z$

$m=2: -y, x-y, z+\frac{1}{3}$

$m=3: -x+y, -x, z+\frac{2}{3}$



Origin on 3<sub>1</sub>

Asymmetric unit  $0 \leq x \leq 1; 0 \leq y \leq 1; 0 \leq z \leq \frac{1}{3}$   
 Vertices  $0,0,0$   $1,0,0$   $1,1,0$   $0,1,0$   
 $0,0,\frac{1}{3}$   $1,0,\frac{1}{3}$   $1,1,\frac{1}{3}$   $0,1,\frac{1}{3}$

Symmetry operations

(1) 1 (2)  $3^1(0,0,\frac{1}{3})$   $0,0,z$  (3)  $3(0,0,\frac{2}{3})$   $0,0,z$

Generators selected (1);  $t(1,0,0)$ ;  $t(0,1,0)$ ;  $t(0,0,1)$ ; (2)

Positions

Multiplicity, Wyckoff letter, Site symmetry	Coordinates
3 a 1	(1) $x,y,z$ (2) $\bar{y},x-y,z+\frac{1}{3}$ (3) $\bar{x}+y,\bar{x},z+\frac{2}{3}$

Symmetry of special projections

Along [001]  $p3$   
 $\mathbf{a}' = \mathbf{a}$   $\mathbf{b}' = \mathbf{b}$   
 Origin at  $0,0,z$

Along [100]  $p1$   
 $\mathbf{a}' = \frac{2}{3}(\mathbf{a} + 2\mathbf{b})$   $\mathbf{b}' = \mathbf{c}$   
 Origin at  $x,0,0$

Symmetry generators

Systematic absences:

Reflection conditions

General:

$000l : l = 3n$

Along [210]  $p1$   
 $\mathbf{a}' = \frac{1}{3}\mathbf{b}$   $\mathbf{b}' = \mathbf{c}$   
 Origin at  $x, \frac{1}{3}x, 0$

# Space group $P4_12_12$

$P4_12_12$

$D_4^4$

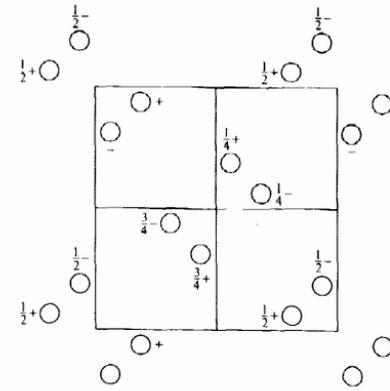
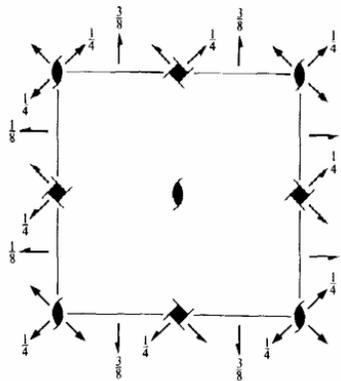
422

Tetragonal

No. 92

$P4_12_12$

Patterson symmetry  $P4/mmm$



Coordinates of the general position:

Origin on  $2[110]$  at  $2, 1(1, 2)$

Asymmetric unit  $0 \leq x \leq 1; 0 \leq y \leq 1; 0 \leq z \leq \frac{1}{2}$

Symmetry operations

- (1) 1 (2)  $2(0, 0, \frac{1}{2})$   $0, 0, z$  (3)  $4'(0, 0, \frac{1}{4})$   $0, \frac{1}{2}, z$  (4)  $4(0, 0, \frac{1}{2})$   $\frac{1}{2}, 0, z$   
 (5)  $2(0, \frac{1}{2}, 0)$   $\frac{1}{2}, y, \frac{1}{2}$  (6)  $2(\frac{1}{2}, 0, 0)$   $x, \frac{1}{2}, \frac{1}{2}$  (7)  $2$   $x, x, 0$  (8)  $2$   $x, \bar{x}, \frac{1}{2}$

Generators selected (1);  $t(1, 0, 0)$ ;  $t(0, 1, 0)$ ;  $t(0, 0, 1)$ ; (2); (3); (5)

Positions

Multiplicity	Wyckoff letter	Site symmetry	Coordinates
8	<i>b</i>	1	(1) $x, y, z$ (2) $\bar{x}, \bar{y}, z + \frac{1}{2}$ (3) $\bar{y} + \frac{1}{2}, x + \frac{1}{2}, z + \frac{1}{4}$ (4) $y + \frac{1}{2}, \bar{x} + \frac{1}{2}, z + \frac{3}{4}$ (5) $\bar{x} + \frac{1}{2}, y + \frac{1}{2}, \bar{z} + \frac{1}{4}$ (6) $x + \frac{1}{2}, \bar{y} + \frac{1}{2}, \bar{z} + \frac{3}{4}$ (7) $y, x, \bar{z}$ (8) $\bar{y}, \bar{x}, \bar{z} + \frac{1}{2}$
4	<i>a</i>	$..2$	$x, x, 0$ $\bar{x}, \bar{x}, \frac{1}{2}$ $\bar{x} + \frac{1}{2}, x + \frac{1}{2}, \frac{1}{4}$ $x + \frac{1}{2}, \bar{x} + \frac{1}{2}, \frac{3}{4}$

Symmetry of special projections

Along  $[001]$   $p4gm$   
 $\mathbf{a}' = \mathbf{a}$   $\mathbf{b}' = \mathbf{b}$   
 Origin at  $0, \frac{1}{2}, z$

Along  $[100]$   $p2gg$   
 $\mathbf{a}' = \mathbf{b}$   $\mathbf{b}' = \mathbf{c}$   
 Origin at  $x, \frac{1}{4}, \frac{1}{2}$

Along  $[110]$   $p2gm$   
 $\mathbf{a}' = \frac{1}{2}(-\mathbf{a} + \mathbf{b})$   $\mathbf{b}' = \mathbf{c}$   
 Origin at  $x, x, 0$

Systematic absences:

Reflection conditions

General:

$00l : l = 4n$   
 $h00 : h = 2n$

Special: as above, plus

$0kl : l = 2n + 1$   
 or  $2k + l = 4n$

Special position:

# The symmetry operators

All symmetry-dependent information in real or reciprocal space can be derived from the symmetry operators! E.g. Space group  $P3_1$ :

$$m = 1: x, y, z; \quad m=2: -y, x-y, z+1/3; \quad m=3: -x+y, -x, z+2/3$$

These operators may also be expressed as 3x3 matrices  $R$  plus vectors  $t$ :

$$\begin{pmatrix} x_m \\ y_m \\ z_m \end{pmatrix} = \begin{pmatrix} R_{11} & R_{12} & R_{13} \\ R_{21} & R_{22} & R_{23} \\ R_{31} & R_{32} & R_{33} \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} t_1 \\ t_2 \\ t_3 \end{pmatrix}$$

Or:  $x_m = R_m x + t_m$ , which in the case of operator  $m=3$  is:

$$\begin{pmatrix} x_m \\ y_m \\ z_m \end{pmatrix} = \begin{pmatrix} -1 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 2/3 \end{pmatrix}$$

# Symmetry operators for $P4_12_12$

For the examples in this talk we will use the space groups  $P3_1$  and  $P4_12_12$ ; for the latter the general positions are:

$$m=1: x, y, z$$

$$m=2: -x, -y, z+\frac{1}{2}$$

$$m=3: \frac{1}{2}-y, \frac{1}{2}+x, z+\frac{1}{4}$$

$$m=4: -y, -x, \frac{1}{2}-z$$

$$m=5: \frac{1}{2}+y, \frac{1}{2}-x, z+\frac{3}{4}$$

$$m=6: \frac{1}{2}-x, \frac{1}{2}+y, \frac{1}{4}-z$$

$$m=7: \frac{1}{2}+x, \frac{1}{2}-y, \frac{3}{4}-z$$

$$m=8: y, x, -z$$

i.e. for  $m=5$ :

$$R = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad t = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \\ \frac{3}{4} \end{pmatrix}$$

To obtain the general positions of the enantiomorphous space group  $P4_32_12$ , just exchange  $\frac{1}{4}$  and  $\frac{3}{4}$  !

# Properties of R and t

The *determinant* of the matrix R must be +1 or -1. If it is -1 it produces an inverted image, so the space group is *not chiral* (but may still be non-centrosymmetric).

When one row of R is never negative for any operator [e.g. the third row ( $R_{31}$   $R_{32}$   $R_{33}$ ) in  $P3_1$ ] the space group is *polar*.

If all elements of t are zero for all operators (not including lattice centering) the space group is *symmorphic* (and has no systematic absences apart from lattice absences).

If t includes elements that are not multiples of  $\frac{1}{2}$  and the lattice is primitive the space group is *one member of an enantiomorphic pair*; if either all elements of t are multiples of  $\frac{1}{2}$  or the lattice is centered the space group does not belong to an enantiomorphic pair (!)

# Symmetry in reciprocal space

For the symmetry operator  $m$ :  $\mathbf{x}_m = \mathbf{R}_m \mathbf{x} + \mathbf{t}_m$

The calculated structure factor  $F_c$  is given by the complex number  $F_c = (A + iB)$  where:

$$A_{hkl} = \sum_{\text{atoms}} \sum_{\text{symm}} f_j \cos[2\pi(hx_m + ky_m + \ell z_m)]$$

$$B_{hkl} = \sum_{\text{atoms}} \sum_{\text{symm}} f_j \sin[2\pi(hx_m + ky_m + \ell z_m)]$$

(the exponential term for atomic displacements has been included in the scattering factor  $f_j$  here for simplicity). But

$$hx_m + ky_m + \ell z_m = h(\mathbf{R}_m \mathbf{x} + \mathbf{t}_m) = h_m x + k_m y + \ell_m z + ht_1 + kt_2 + \ell t_3$$

where:  $h_m = R_{11}h + R_{21}k + R_{31}\ell$

$$k_m = R_{12}h + R_{22}k + R_{32}\ell$$

$$\ell_m = R_{13}h + R_{23}k + R_{33}\ell$$

So to find the *equivalent indices*  $(h_m, k_m, \ell_m)$  we multiply  $(h, k, \ell)$  by the *transpose* of the matrix  $R$ .

# The phases of equivalent reflections

The phase  $\phi_m$  of the equivalent reflection  $h_m$  is derived from the phase  $\phi$  of the (prime) reflection  $h$  by:

$$\phi_m = \phi - 2\pi h t_m = \phi - 2\pi ( h t_1 + k t_2 + \ell t_3 )$$

For example in  $P3_1$ :

$$\begin{aligned} h_2 &= 0h + 1k + 0\ell = k \\ k_2 &= -1h - 1k + 0\ell = -h - k \\ \ell_2 &= 0h + 0k + 1\ell = \ell \end{aligned}$$

So  $h_2$  is  $k, -h-k, \ell$  with phase:

$$\phi_2 = \phi - 2\pi h t_2 = \phi - 2\pi ( 0h + 0k + \frac{1}{3}\ell ) = \phi - (\frac{2}{3})\pi\ell$$

These are the true equivalent reflections; they have the same intensities and exactly the above phase shifts whether anomalous scatterers (that would cause Friedel's law to break down) are present or not.

# Friedel's law

Friedel's law states that  $|F_{-m}| = |F_m|$  and  $\phi_{-m} = -\phi_m$  where  $\phi_{-m}$  is the phase of  $(-h_m, -k_m, -\ell_m)$ . Friedel's law is strictly valid only when  $f''$  is equal (or zero) for all atoms in the structure, but it is almost always a good approximation. In space group  $P3_1$ :

$$|F_{h,k,\ell}| = |F_{k,-h-k,\ell}| = |F_{-h-k,h,\ell}| \quad (\text{exact equivalents}) \quad \text{and}$$

$$|F_{-h,-k,-\ell}| = |F_{-k,h+k,-\ell}| = |F_{h+k,-h,-\ell}| \quad (\text{exact equivalents})$$

but these two groups are only approximately equal because they are related by Friedel's law. For non-centrosymmetric space groups (chiral or not) there are always two groups of exact equivalents; if Friedel's law holds, the  $|F|$  values of the two groups are also the same.

# Equivalents in $P4_12_12$

For  $P4_12_12$  the two groups of equivalents are:

$$h,k,\ell = -h,-k,\ell = k,-h,\ell = -k,-h,-\ell = -k,h,\ell = -h,k,-\ell = h,-k,-\ell = k,h,-\ell$$

$$-h,-k,-\ell = h,k,-\ell = -k,h,-\ell = k,h,\ell = k,-h,-\ell = h,-k,\ell = -h,k,\ell = -k,-h,\ell$$

The space group  $P4mm$  has the same Laue group as  $P4_12_12$  but different Friedel-related groups:

$$h,k,\ell = -h,-k,\ell = k,-h,\ell = -k,-h,\ell = -k,h,\ell = -h,k,\ell = h,-k,\ell = k,h,\ell$$

$$-h,-k,-\ell = h,k,-\ell = -k,h,-\ell = k,h,-\ell = k,-h,-\ell = h,-k,-\ell = -h,k,-\ell = -k,-h,-\ell$$

To derive these groups of equivalents correctly, it is necessary to know the point group (or space group). The Laue group contains an inversion center and so is not sufficient. It should be noted that for chiral compounds (i.e. for macromolecules) there is only one possible point group for each Laue group (the one that has rotation axes but no planes, inversion centers or inverse tetrads).

# Systematic absences

A reflection is *systematically absent* when  $h_m = h$  but  $\phi_m$  is not equal to  $\phi$  ( $+2n\pi$  where  $n$  is an integer). In  $P3_1$ :

$$\phi_{k,-h-k,\ell} = \phi_{h,k,\ell} - 2\pi\ell/3 (+2n\pi)$$

so when  $h = k = 0$ :

$$\phi_{0,0,\ell} = \phi_{0,0,\ell} - 2\pi\ell/3 (+2n\pi)$$

which can only be true when  $\ell = 3n$ , i.e. reflections  $0,0,\ell$  with  $\ell$  not equal to  $3n$  are *systematically absent*. Note that the reflection is absent if this applies for *any* operator  $m$ . E.g. in  $P4_12_12$ :

$$m=2: \quad \phi_{-h,-k,\ell} = \phi_{h,k,\ell} - \pi\ell (+2n\pi)$$

which implies  $0,0,\ell$  absent for  $\ell$  not equal to  $2n$ , but:

$$m=3: \quad \phi_{k,-h,\ell} = \phi_{h,k,\ell} - \pi h - \pi k - \frac{1}{2}\pi\ell (+2n\pi)$$

which requires  $0,0,\ell$  absent for  $\ell$  not  $4n$ , so  $0,0,2$  is also absent.

# Symmetry-restricted phases

If  $h_{-m} = h$  then:

$$\phi_{-m} = -(\phi - 2\pi h t_m) = \phi (+2n\pi)$$

which gives the equation:

$$2\phi = 2\pi h t_m + 2n\pi \quad (n \text{ integer})$$

$$\text{or } \phi = \pi (h t_1 + k t_2 + \ell t_3 + n)$$

So there can only be two possible values for  $\phi$  (corresponding to odd and even  $n$ ) and they must differ by  $\pi$ . Such reflections belong to a *centrosymmetric projection*. In  $P3_1$ , for no values of  $m$  and  $h,k,\ell$  (except  $0,0,0$ ) is  $h_{-m} = h$ , so there are no centrosymmetric projections. This is clearly also true in real space from inspection of the IT diagram.

# Centric reflections in $P4_12_12$

$P4_12_12$  has several classes of reflections with restricted phases, e.g.:

$$m=2: \quad h_{-m} = -(-h, -k, \ell)$$

which is equal to  $h, k, \ell$  when  $\ell=0$ , which gives:

$$\phi_{h,k,0} = \pi ( 0h + 0k + (1/2)0 ) + n\pi = n\pi$$

so the  $h, k, 0$  reflections have phases restricted to 0 or  $\pi$ . Similarly,  $m=6$  gives  $h_{-m} = -(-h, k, -\ell)$  which is equal to  $h, k, \ell$  if  $k=0$ . Then:

$$\phi_{h,0,\ell} = \pi ( 1/2h + 1/2(0) + 1/4\ell ) + n\pi = \pi ( 1/2h + 1/4\ell ) + n\pi$$

So for example the reflection  $1, 0, 1$  has two possible phases of  $3\pi/4$  or  $7\pi/4$ .

In the case  $m=4$ ,  $h_{-m} = -(-k, -h, -\ell)$  which is equal to  $h, k, \ell$  when  $h = k$ . Thus it can be shown that reflections  $h, h, \ell$  are restricted to  $\pi/2$  or  $3\pi/2$ .

# Phases and translation

If the whole structure is shifted by  $\Delta x$  we can write:  $x_j' = x_j + \Delta x$

$$\begin{aligned}\text{Thus } F_h' &= \sum_j f_j \exp(2\pi i h x_j') = \sum_j f_j \exp(2\pi i (h(x_j + \Delta x))) \\ &= \left[ \sum_j f_j \exp(2\pi i h x_j) \right] \exp(2\pi i h \Delta x) \\ &= F_h \exp(2\pi i h \Delta x)\end{aligned}$$

i.e.  $\phi' = \phi + 2\pi h \Delta x$

Only those origin shifts are allowed that do not alter the symmetry of the space group. Phases that do not change on any allowed origin shift are called *seminvariant phases*.

If there are two phase sets (e.g. from direct methods) with phase differences  $\Delta\phi_h$ , a Fourier synthesis:

$$Q_{\Delta x} = \sum_h |F|^2 \exp(i\Delta\phi_h) \exp(-2\pi i h \Delta x)$$

Should give a maximum at  $\Delta x$  equal to the translation between the two structures.

# Finding the origin in $P\bar{1}$

$P\bar{1}$  structures can often be solved more easily in  $P1$ . Only when the inversion center is at the origin will the phases be 0 or  $\pi$ , otherwise they have general values. To find the origin shift needed to bring an inversion center to the origin, we can double the phases and perform a Fourier transformation:

$$P_X = \sum_h |F_h|^2 \exp(-2\pi i \cdot 2\phi_h) \exp(-2\pi i hX)$$

The electron density should then be shifted by  $X/2$  to bring it to the true origin, where  $X$  is the position of the maximum of this *origin shifted Patterson function*.